

Appl. No. 09/834,171
Amdt. dated October 20, 2003
Reply to Office Action of August 26, 2003

#171/B
NE
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10/20/03

UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/834,171
Title : ELECTRONIC SECURITIES MARKETPLACE HAVING
INTERGRATION WITH ORDER MANAGEMENT
SYSTEMS
Applicant(s) : Seth I. Merrin et al.
Filed : April 12, 2001
TC/AU : 3624
Examiner : Kyle, Charles R.
Docket No. : 363779/0002

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Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

October 20, 2003

AMENDMENT AFTER FINAL

Sir:

In response to the Office Action of August 26, 2003, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 12 of this paper.